

Wyatt Broome
Investment Adviser Representative

Foundations Investment Advisors, LLC
dba Impact Partners Financial

Located at:

3027 Marina Bay Dr. Suite 301
League City, TX 77573
(281) 549-6515

Wyatt@impactpartnersfinancial.com

Supervised From:

4050 E. Cotton Center Blvd., Suite 40
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06/30/2023

Form ADV Part 2B Brochure Supplement

This Brochure Supplement provides information about Wyatt Broome that supplements the Foundations Investment Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact us at (480) 626-2979 if you did not receive Foundations Investment Advisors, LLC Brochure or if you have any questions about the contents of this supplement.

Additional information about Wyatt Broome is available on the SEC's website at www.adviserinfo.sec.gov. Mr. Broome's CRD number is 7257750.

Educational Background and Business Experience - Item 2

Wyatt Broome

Year of Birth: 1997

Formal Education after High School:

- LSU, Bachelors degree May 2020
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Business Background:

- Foundations Investment Advisors, LLC; Investment Adviser Representative; 06/2023 – Present
- Impact Partners Financial; Wealth Planner; 01/2013 – Present
- Fidelity Investments; High Net Worth Service Associate; 03/2022 -12/2022
- 49 Financial; Financial Planner; 05/2020 – 02/2021
- LSU Baton Rouge; Student; 08/2016 -05/2020

Disciplinary Information - Item 3

Investment adviser representatives are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Broome and Foundations Investment Advisors, LLC. Mr. Broome has no material events to disclose.

Other Business Activities - Item 4

Mr. Broome is a licensed insurance agent and can effect transactions in insurance products and earn compensation for these activities. The firm expects that clients to whom it offers advisory services may also be clients for whom Mr. Broome acts as an insurance agent. Clients are instructed that the fees paid to the firm for advisory services are separate and distinct from the commissions earned by its Investment Adviser Representatives for placing the client in insurance products. Clients to whom the firm offers advisory services are informed that they are under no obligation to purchase insurance services from Mr. Broome and may use the insurance brokerage firm and agent of their choice.

Mr. Broome has no relationships or affiliations with any other companies or individuals that would lead to a conflict of interest for the client.

Additional Compensation – Item 5

Other than what is noted in Item 4 above, Mr. Broome does not receive additional compensation or economic benefits from third party sources in connection with his advisory activities.

Supervision - Item 6

Mr. Broome is an investment adviser representative of Foundations Investment Advisors, LLC (FIA), and is supervised by Ernest C’DeBaca, FIA’s Chief Compliance Officer.

FIA has implemented a Code of Ethics and an internal compliance program that guides each Associated Person in meeting their fiduciary obligations to clients. Mr. Broome adheres to FIA's Code of Ethics and compliance manual as mandated.

Additionally, FIA is subject to regulatory oversight by various agencies. These agencies require registration by FIA and its employees. As a registered entity, FIA is subject to examinations by regulators, which may be announced or unannounced. FIA is required to periodically update the information provided to these agencies and to provide various reports regarding firm business and assets under management.