

**Wyatt Broome**  
Investment Adviser Representative

**Foundations Investment Advisors, LLC**  
**dba Impact Partners Financial**

Located at:

3027 Marina Bay Dr. Suite 301  
League City, TX 77573

11200 Broadway St. Suite 2743  
Pearland, TX 77584

(281) 549-6515

[Wyatt@impactpartnersfinancial.com](mailto:Wyatt@impactpartnersfinancial.com)  
[www.impactpartnersfinancial.com](http://www.impactpartnersfinancial.com)

Supervised From:

4050 E. Cotton Center Blvd., Suite 40  
Phoenix, AZ 85040

Main: (480) 626-2979

Fax: (480) 747-9661

[www.fiwealth.com](http://www.fiwealth.com)

**07/08/2024**

**Form ADV Part 2B Brochure Supplement**

This Brochure Supplement provides information about Wyatt Broome that supplements the Foundations Investment Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact us at (480) 626-2979 if you did not receive Foundations Investment Advisors, LLC Brochure or if you have any questions about the contents of this supplement.

Additional information about Wyatt Broome is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Mr. Broome's CRD number is 7257750.

### Educational Background and Business Experience - Item 2

**Wyatt Broome**

**Year of Birth:** 1997

***Formal Education after High School:***

- LSU, Bachelors degree May 2020

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***Business Background:***

- Foundations Investment Advisors, LLC; Investment Adviser Representative; 06/2023 – Present
- Impact Partners Financial; Wealth Planner; 01/2013 – Present
- Fidelity Investments; High Net Worth Service Associate; 03/2022 -12/2022
- 49 Financial; Financial Planner; 05/2020 – 02/2020
- LSU Baton Rouge; Student; 08/2016 -05/2020

### Disciplinary Information - Item 3

Investment adviser representatives are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Broome and Foundations Investment Advisors, LLC. Mr. Broome has no material events to disclose.

### Other Business Activities - Item 4

Mr. Broome is a licensed insurance agent and can effect transactions in insurance products and earn compensation for these activities. The firm expects clients to whom it offers advisory services may also be clients for whom Mr. Broome acts as an insurance agent. A conflict of interest exists in that Mr. Broome may recommend an insurance product to an advisory client and will receive commissions for that transaction. Additionally, Mr. Broome may also receive additional compensation in the form of related bonuses for insurance transactions. This can create an incentive for Mr. Broome to recommend insurance products based on the receipt of potential compensation rather than the client's individual needs. However, as a Foundations investment adviser representative, Mr. Broome has a fiduciary duty to operate in the advisory client's best interest at all times. Further, clients are informed that they are under no obligation to purchase insurance services from Mr. Broome and may use the insurance brokerage firm and agent of their choice.

Mr. Broome has no relationships or any affiliations with any other companies or individuals that would lead to a conflict of interest for the client.

**Additional Compensation – Item 5**

Other than what is noted in Item 4 above, Mr. Broome does not receive additional compensation or economic benefits from third party sources in connection with his advisory activities.

**Supervision - Item 6**

Mr. Broome is an investment adviser representative of Foundations Investment Advisors, LLC (FIA), and is supervised by Ernest C'DeBaca, FIA's Chief Compliance Officer.

FIA has implemented a Code of Ethics and an internal compliance program that guides each Associated Person in meeting their fiduciary obligations to clients. Mr. Broome adheres to FIA's Code of Ethics and compliance manual as mandated.

Additionally, FIA is subject to regulatory oversight by various agencies. These agencies require registration by FIA and its employees. As a registered entity, FIA is subject to examinations by regulators, which may be announced or unannounced. FIA is required to periodically update the information provided to these agencies and to provide various reports regarding firm business and assets under management.